

Electronic Acknowledgement Receipt

EFS ID:	2049134
Application Number:	10697541
International Application Number:	
Confirmation Number:	7586
Title of Invention:	Method and apparatus for monitoring and evaluating limit order trading
First Named Inventor/Applicant Name:	Alan M. Buckwalter
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Filer:	Nathaniel Levin/Edith Martin
Filer Authorized By:	Nathaniel Levin
Attorney Docket Number:	G08.047/U
Receipt Date:	06-AUG-2007
Filing Date:	30-OCT-2003
Time Stamp:	09:34:08
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	G08047U_Response_to_NF OA_6_4_07.pdf	132254 bf04ec2a2b7658ad2ae038ca25bbad8e789bf328	no	18

Warnings:

Information:

2	Miscellaneous Incoming Letter	G08047U_ResponseTransmittal_to_NFOA_06_04_07.pdf	83546 b964c6817999368e201e71ee8ecee13a24f431a4	no	2
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Warnings:

Information:

3	Change of Address	G08047U_Change_of_Correspondence_Address.pdf	86927 775747ad1dcfbf8a2b9861bb75812b159032d711	no	1
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Warnings:

Information:

Total Files Size (in bytes):			302727		
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.